

Title: Does polycentricity fit? Linking social fit with polycentric governance in a large-scale marine protected area

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Abstract

Scholars have theorized that polycentricity may produce benefits that promote effective, sustainable governance of complex social-ecological systems. Yet, little empirical research exists exploring whether and how these benefits emerge and what additional outcomes polycentric governance systems produce. This paper presents an empirical examination of Papahānaumokuākea Marine National Monument (PMNM), one of the longest-standing and largest marine protected areas in the world. Monument governance is structured as a polycentric system, including semi-autonomous decision-making groups and governance actors that interact across jurisdiction, geography, and decision-making levels. Through analysis of qualitative empirical data, we explore whether and how PMNM functions as theory predicts, with a particular focus on social fit and how it has evolved over time. Findings indicate that PMNM largely exhibits social fit for governance actors, and they add empirical support and additional nuance to theoretical understandings of functional polycentricity. Specifically, the case suggests additional contextual features that might promote social fit, including sufficient time and resources, clear communication and shared understanding, and socially astute and strategically savvy governance actors holding key governance positions. The article demonstrates that social fit can increase or decrease over time, and that different actors may perceive its presence and extent differently. These findings suggest avenues for additional research into how the enabling conditions of polycentric governance systems and the contextual features that enliven those systems in practice may interact and affect functionality and other outcomes.

Keywords

Polycentricity, social fit, large-scale marine protected area, hybrid governance, Papahānaumokuākea Marine National Monument

1. Introduction

Scholars have increasingly drawn attention to polycentric governance systems that rely on hybrid governance structures as potential solutions to complex environmental problems (Oakerson and Parks 2011; Heikkila, Villamayor-Tomas, and Garrick 2018; E. Ostrom 2010). They theorize that polycentric systems may be more likely than other forms of governance to exhibit three benefits: adaptive capacity, institutional (both ecological and social) fit, and minimized risk of resource loss and governance failure through functional redundancy and institutional diversity (Carlisle and Gruby 2017). Carlisle and Gruby (2017) offer a theoretical model for a *functional* polycentric governance system, or a polycentric system that exhibits these benefits. The model describes the two key attributes of a polycentric system and seven enabling conditions that may increase the likelihood that one or more of the three theorized benefits will emerge (Table 1). Yet, few studies have empirically tested whether the theoretical relationships in this model hold up in practice (Biddle and Baehler 2019; Mudliar 2020; and Carlisle and Gruby 2018 are exceptions).

We address this gap through a study of the Papahānaumokuākea Marine National Monument (PMNM), a complex governance system that manages access to and activity within

46 the Northwest Hawaiian Islands and oceans surrounding them. Our analysis demonstrates that
47 PMNM is a polycentric system jointly managed by agencies in two federal departments, the state
48 of Hawai‘i, and the Office of Hawaiian Affairs (OHA), with input from various state and non-
49 state actors (Kittinger et al. 2011). It exemplifies hybrid governance in that it is co-managed, or
50 blends state and community governance in decision-making (Lemos and Agrawal 2006).
51 Established in 2006 by President Bush (Proclamation No. 8031) and expanded in 2016 by
52 President Obama (Proclamation No. 9478), PMNM is one of the longest standing large-scale
53 marine protected areas (LSMPAs) in the world. Its initial creation is credited with helping spur
54 the global trend to establish LSMPAs (Christie et al. 2017), and proponents highlight it as a
55 model for successful joint ecological and cultural governance (Kikiloi et al. 2017).

56 In this article, we focus on one proposed benefit of a functional polycentric system that
57 has received little empirical attention: social fit. Social fit is the extent to which a governance
58 system addresses people’s diverse beliefs, norms, values and expectations in a social-ecological
59 system (Epstein et al. 2015). It has been theorized as beneficial and merits specific attention
60 because it has been shown to promote human well-being as well as the perceived legitimacy of
61 governance systems (Turner et al. 2018; DeCaro and Stokes 2013). We show that PMNM largely
62 exhibits social fit for governance actors and that some of the enabling conditions identified by
63 Carlisle and Gruby (2017) can promote social fit’s emergence. We advance theoretical
64 understanding of polycentricity by 1) adding nuance to understanding of enabling conditions and
65 interactions among them, and 2) proposing four contextual features of PMNM that contributed to
66 social fit in practice.

67 This article also contributes to literature on LSMPAs. Following calls for greater
68 attention to the human dimensions of MPAs and LSMPAs (Charles and Wilson 2009; Fox et al.
69 2012; R.L. Gruby et al. 2016), research on LSMPA governance, politics, and social dimensions
70 and outcomes has increased rapidly in recent years (Gruby et al. 2017; Leenhardt et al. 2013; De
71 Santo 2020; Richmond et al. 2019; Gruby et al. 2021) but remains limited. By engaging theory
72 on polycentricity, we offer new insights into why the PMNM governance system is held up as a
73 model of success while cautioning that functionality is never fully stable or settled.

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75 2. Social fit: A theorized benefit of polycentric governance systems

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77 Polycentric systems have been explored as a tool for addressing complex environmental
78 problems (E. Ostrom 2010). V. Ostrom, Tiebout, and Warren (1961) introduced polycentric
79 governance systems as those involving multiple, independent or semi-independent centers of
80 decision-making that “take each other into account” through specific interactions and
81 relationships (p. 831). Polycentric governance systems have been theorized to promote effective
82 governance by distributing power among actors, spreading risk to minimize the potential for
83 governance failure, and allowing institutional experimentation through diversity (E. Ostrom
84 2005). While not a panacea (Berardo and Lubell 2019), polycentricity can contribute to effective
85 governance of common pool resources in particular places and contexts (Juerges, Leahy, and
86 Newig 2018; Baldwin et al. 2018; Villamayor-Tomas 2018).

87 Empirical studies of polycentric governance are still limited but increasing. In particular,
88 scholars have begun investigating linkages between structure and function in polycentric
89 governance systems (Heikkila, Villamayor-Tomas, and Garrick 2018). For example, Villamayor-
90 Tomas (2018) demonstrates that water user associations in the Spanish irrigation sector exhibited

91 adaptive capacity, in part because the associations had autonomy, competition, and effective
 92 institutions to guide and govern their interactions with other decision-making centers. Baldwin et
 93 al. (2018) find that multiple, overlapping decision-making centers, incentives to cooperate, trust,
 94 and formal and informal institutions encourage collective action in water governance in Kenya.
 95 Others have demonstrated that polycentric governance alone is neither “good” nor “bad” (Thiel,
 96 Blomquist, and Garrick 2019); the effectiveness of polycentric governance depends on the place
 97 and context of a governance system (Berardo and Lubell 2019). Indeed, the structural stability of
 98 governance systems that exhibit polycentric attributes can even serve to mask adverse outcomes
 99 and decreasing effectiveness, as demonstrated in Australia’s Great Barrier Reef (Morrison 2017).
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Attribute	Enabling Condition	Advantage: Enhanced Adaptive Capacity	Advantage: Good Institutional Fit	Advantage: Risk Mitigation/ Redundancy
Multiple, overlapping decision-making centers with some degree of autonomy		X	X	X
	Decision-making centers employ diverse institutions	X	X	X
	Decision-making centers exist at different levels and across political jurisdictions		X	X
	The jurisdiction or scope of authority of decision-making centers is coterminous with the boundaries of the problem being addressed		X	
Choosing to act in ways that take account of others through processes of cooperation, competition, conflict, and conflict resolution		X	X	
	Generally applicable rules and norms structure actions and behaviors within the system	X		
	Decision-making centers participate in cross-scale linkages or other mechanisms for deliberation and learning	X	X	
	Mechanisms for	X		

accountability exist within the governance system

A variety of formal and informal mechanisms for conflict resolution exist within the system

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102 Table 1. Theoretical Model of a Functional Polycentric Governance System (reproduced from
103 Carlisle and Gruby (2017)); shaded column highlights attributes and enabling conditions
104 associated with increased social fit

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Scholars are beginning to develop and engage frameworks to structure comparable empirical studies that can build, test, and add nuance to generalizable theories of polycentric governance. In addition to Carlisle and Gruby's (2017) contribution, Stephan, Marshall, and McGinnis (2019) outline eight key governance characteristics that scholars connect with polycentric governance systems and suggest potential measures for each. Researchers have begun to use these contributions to focus research and empirically test theoretical claims. For instance, Biddle and Baehler (2019) find that Flint, MI's water governance system failed to produce beneficial outcomes, despite exhibiting some of the enabling conditions in Carlisle and Gruby's (2017) model. Mudliar (2020) examines how power and power dynamics between decision-making centers interact with polycentric attributes, enabling conditions, and contextual features in Lake Victoria's fishery governance systems to both exclude lower-level actors from decision-making and centralize governance over time. Yet, few polycentricity studies have focused on social fit, a key component of institutional fit, in depth (although see Boakye-Danquah et al. 2018).

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We address this gap by investigating whether and how social fit emerges in PMNM. We engage Carlisle and Gruby's (2017) model to situate this work in broader efforts to develop generalizable theory on polycentricity. Scholars have defined social fit in various ways, based on: whether governance institutions address resource users' psychological and social needs (Turner et al. 2018); the acceptance and perceived legitimacy of governance institutions (Meek 2013; DeCaro and Stokes 2013); and/or whether governance institutions reflect resource users' worldviews, values, goals, or beliefs (Aburto and Gaymer 2018; Briassoulis 2017). We define social fit using Epstein et al.'s (2015) criteria: 1) institutions align with stakeholders' values, beliefs, customs, and use patterns, 2) decision-making centers address stakeholder psychological needs and expectations, and 3) the governance system resolves conflicts, provides resources, and promotes social learning.

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Recent scholarship examining polycentricity and social fit has revealed two key insights relevant for this study. First, while scholarship to date has often presented social fit as an inherent "good" or benefit to a system, the extent to which it is perceived as beneficial depends on the interests and goals of particular actors, which may vary (Briassoulis 2017). We therefore understand social fit on a continuum as it relates to particular groups, and we consider whether social fit constitutes a benefit of a functional polycentric system as an empirical question. Second, polycentricity scholars find that governance systems are dynamic and continuously evolving (Biddle and Baehler 2019; Carlisle and Gruby 2018; Thiel, Pacheco-Vega, and Baldwin 2019), suggesting their functionality is contingent on both institutional structure and contextual

140 factors (Morrison 2017; Thiel, Pacheco-Vega, and Baldwin 2019; Mudliar 2020). We look for
141 additional factors outside of the model that may contribute to or limit social fit.

142 We use PMNM as a case study to empirically interrogate the links among the attributes
143 and enabling conditions of a polycentric governance system and social fit as a theorized benefit
144 of that system. First, we assess whether PMNM can be characterized as a polycentric system
145 based on the two attributes of polycentricity. Kittinger et al. (2011) has characterized PMNM as
146 a polycentric system; we sought to determine whether it had remained polycentric over time.
147 Second, we analyze the extent to which PMNM exhibits the theorized enabling conditions for
148 social fit. Third, we assess the social fit of PMNM using Epstein et al. (2015)'s three dimensions
149 of social fit, and we discuss how the enabling conditions relate to PMNM's social fit. Lastly, we
150 present four contextual features of PMNM that made the emergence of social fit possible, and we
151 offer reflections and conclusions in the final section. Through this analysis, we aim to further the
152 task of understanding polycentric governance in practice by using an empirical case to further
153 test and refine polycentricity theory generally, and Gruby and Carlisle's (2017) model
154 specifically.

155 3. The case: Papahānaumokuākea Marine National Monument

156 In June 2006, President Bush signed a presidential proclamation establishing the
157 Northwestern Hawaiian Islands Marine National Monument, the largest MPA in the US at the
158 time, through the American Antiquities Act. Native Hawaiian¹ cultural leaders soon renamed this
159 culturally significant area the Papahānaumokuākea Marine National Monument, honoring the
160 place where, according to the Native Hawaiian creation chant, the Kumulipo, life emerges and
161 spirits return after death (Freestone et al. 2014). The naming "reemphasized the importance of
162 the genealogical connection between people and nature as the foundation of Hawaiian tradition"
163 (Kikiloi et al. 2017, 441). The area was established as a UNESCO World Heritage site in 2010,
164 becoming the world's first cultural seascape. Finally, in 2016, President Obama expanded
165 PMNM to include 1,508,870 square kilometers, and simultaneously elevated the Office of
166 Hawaiian Affairs, a semi-autonomous public agency dedicated to promoting Native Hawaiians'
167 well-being, to become a co-Trustee of PMNM.

168 PMNM includes the remote northwest Hawaiian islands and the surrounding oceans of
169 the US exclusive economic zone (Kikiloi et al. 2017). With the exception of a military base and
170 small field camps, the islands are uninhabited, and governance occurs mostly from afar, in O'ahu
171 (for reference, Nihoa, the closest island within PMNM, is located roughly 440 km from O'ahu).
172 This distance, as well as PMNM's strict limits on access to the area and resource extraction,
173 mean that relatively few people outside of the military actually travel to PMNM. Those that do
174 include researchers, Native Hawaiian cultural practitioners, educators, and others that hold
175 required permits. Previous regulatory actions had already greatly reduced fishing before PMNM
176 was established, and the final small bottomfish fishery ended in 2010, when the National Marine
177 Fisheries Service (NMFS) bought back permits from any remaining fishermen (Kittinger et al.
178 2011).

179 Social fit refers to how well a governance system's structure and function fits with
180 stakeholder expectations and norms. Yet identifying "stakeholders" for PMNM is not

¹ Native Hawaiian refers to any person who can trace their Hawaiian ancestry prior to 1778 (42 U.S. Code § 3057k).

183 straightforward, given limited in-person engagement with the place coupled with the vast scope
184 of people with possible interest in PMNM (one might include interested Hawaiians, interested
185 US residents, or anyone interested in PMNM management worldwide). We argue that
186 governance actors represent the stakeholders with the most direct, active engagement in PMNM.
187 We define governance actors broadly as people engaged in decision-making processes for
188 PMNM management, including both direct decision-makers as well as those with advisory or
189 supporting roles to decision-making centers. This includes all permit holders with access to
190 PMNM; many of them work directly in government agencies, and all engage with governance
191 processes through permitting system requirements. Thus, “governance actors” include the
192 primary in-person users of PMNM. Additionally, many past and potential future user groups that
193 currently lack access to PMNM are represented in PMNM’s governance system (e.g. fishermen).
194 Note that these actors hold multiple, distinct worldviews and histories, situated in Western and
195 Native Hawaiian cultural contexts.

196 The research for this paper emerged from [name removed for review] and [name
197 removed for review]’s participation and leadership in the Community of Practice for human
198 dimensions of LSMPAs and its call for increased research on LSMPA governance (Christie et al.
199 2017). We selected PMNM because it is generally regarded as a model for biocultural
200 conservation areas. Here, we build on existing human dimensions scholarship on PMNM to
201 understand how its governance evolved over time (e.g. Kittinger et al. 2011; Freestone et al.
202 2014; Kikiloi et al. 2017; MacKenzie and Tanaka 2015).

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204 4. Methods

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206 This project included two phases. First, we engaged key PMNM managers, stakeholders,
207 and members of the LSMPA Community of Practice early in the research design process. These
208 conversations informed our research questions and data collection methods to ensure that they
209 were appropriate and useful for PMNM. Second, data collection consisted of 44 semi-structured
210 interviews with PMNM governance actors, document collection, and participant observation at
211 PMNM-related meetings and events. Interviewees included governance actors described above:
212 current and past government and semi-government agency employees; advisory group members;
213 PMNM permit holders; non-government organization representatives; researchers; and members
214 of the public who engaged in PMNM management. We conducted participant observation at a
215 Reserve Advisory Council (RAC) meeting, PNMN outreach events, and a tour of the
216 Mokupāpapa Discovery Center. Data were collected by [name removed for review] and [name
217 removed for review] in Hawai‘i (on O‘ahu and the island of Hawai‘i) during May-July of 2018.
218 Additional interviews were conducted remotely in 2018 and 2019.

219 Data collection and analysis for the project occurred iteratively and included deductive
220 and inductive processes (Glaser and Strauss 2009; Bernard 2006; Charmaz 2014). Our interview
221 guide was informed by Carlisle and Gruby (2017), Epstein et al. (2015), and broader
222 polycentricity and social fit literatures. We adjusted data collection methods as insights arose,
223 addressing new themes during interviews and exploring new document sources. We transcribed
224 interviews and coded data iteratively using QSR NVivo software. We relied predominantly on
225 interview data to identify rules-in-use and perceptions of how management activities align with
226 belief systems and cultural norms of governance actors.

227 We describe each attribute, enabling condition, and dimension as present, mostly present,
228 somewhat present, or absent in PMNM, recognizing that “polycentric governance can be

229 understood as an intrinsically dynamic process embedded within a contingent type of structure
 230 that is difficult to capture in single measures” (Stephan, Marshall, and McGinnis 2019, p. 44).
 231 The descriptors provide a simplified heuristic of a complex reality; none of the attributes,
 232 enabling conditions, dimensions, and features are completely present or absent all of the time
 233 (Briassoulis 2017; Carlisle and Gruby 2018). “Present” indicates that the feature in question was
 234 observed in PMNM with no apparent weaknesses or limitations; “mostly present” indicates that
 235 the feature was broadly present but with minor weaknesses or limitations; “somewhat present”
 236 indicates that the feature was observed in PMNM, but with significant limitations or weaknesses;
 237 “absent” indicates that the feature was not observed in PMNM. To assign these descriptors, we
 238 qualitatively coded interview data according to the descriptors for each feature, and we
 239 triangulated this analysis with policy documents and/or field notes as appropriate. All of these
 240 descriptors necessarily refer to the PMNM governance system before and/or at the time of
 241 research. Given the inevitable effects of institutional change, we also indicate broad changes in
 242 social fit dimensions over time.

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 244 5. Linking a polycentric system and social fit
 245 5.1 PMNM as a polycentric system
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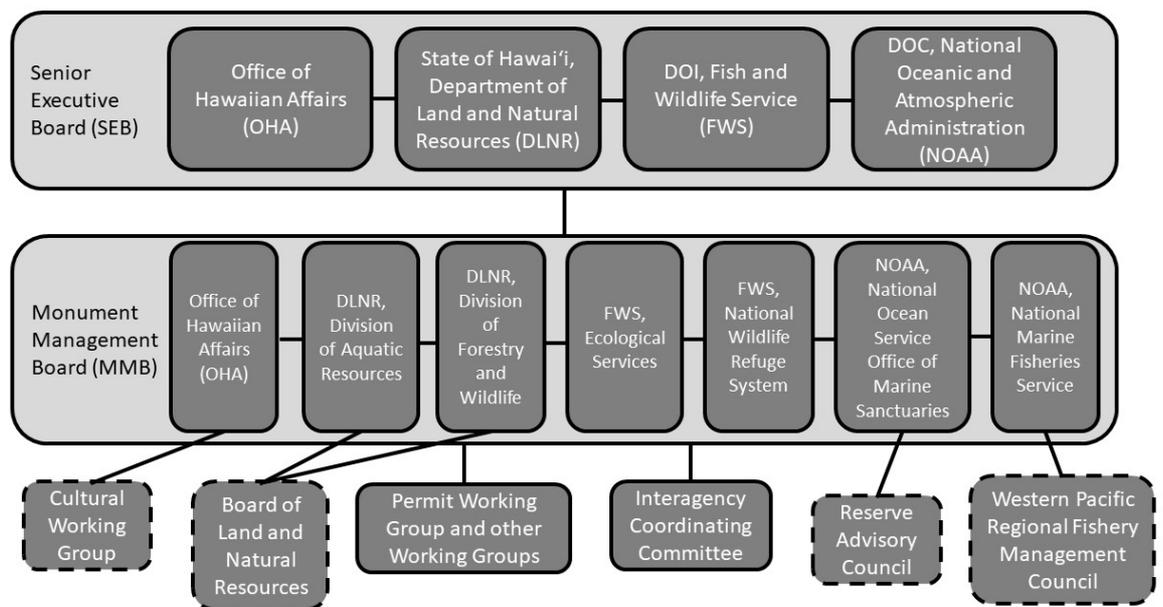
Acronym	Full Name
PMNM	Papahānaumokuākea Marine National Monument
OHA	Office of Hawaiian Affairs
FWS	Fish and Wildlife Service
NOAA	National Oceanic and Atmospheric Administration
SEB	Senior Executive Board
MMB	Monument Management Board
ONMS	Office of National Marine Sanctuaries
NMFS	National Marine Fisheries Service
CWG	Cultural Working Group
PWG	Permit Working Group
RAC	Reserve Advisory Council

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 248 Table 2. Acronyms used in Papahānaumokuākea Marine National Monument governance
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250 5.1.1 Polycentricity Attribute 1: Present
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252 Two attributes characterize a polycentric governance system (Carlisle and Gruby 2017;
 253 V. Ostrom, Tiebout, and Warren 1961). PMNM exhibits the first attribute, which holds that the
 254 governance system includes “multiple, overlapping decision-making centers with some degree of
 255 autonomy” (p. 6). Figure 1 is a simplified representation of PMNM’s governance structure. It is
 256 jointly managed by four co-trustees, each represented on a Senior Executive Board (SEB) that
 257 oversees and addresses disputes that arise from the Monument Management Board (MMB). The
 258 MMB wrote and implements the Monument Management Plan, overseeing permit applications,
 259 enforcement, research and monitoring, and operations, among other things.

260 These seven government agencies and the MMB itself each constitute decision-making
 261 centers. A decision-making center is any group or unit with power to decide on, enact, or enforce
 262 rules and norms related to governance (Carlisle and Gruby 2017). The MMB’s legal mandate to
 263 manage PMNM overlaps with the agencies’ jurisdictional authorities over particular spaces and
 264 activities within PMNM. While the MMB has autonomy to make governance decisions related to
 265 PMNM, representatives must ensure that governance decisions comply with their respective
 266 agency’s mandates, responsibilities, and norms. Some management tasks are delegated to
 267 working groups, which also constitute decision-making centers. For instance, the Permit
 268 Working Group (PWG), which is composed of representatives from the seven MMB agencies,
 269 has autonomy to carry out permit processing and management and interacts with user groups
 270 travelling to PMNM. Finally, supporting actors, while not decision-making centers themselves,
 271 provide decision-making centers with critical information. PMNM’s advisory bodies, such as the
 272 RAC and the Cultural Working Group (CWG), provide expert input to decision-makers and
 273 represent key avenues for community stakeholders to participate meaningfully in PMNM
 274 governance.



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 276 Figure 1. PMNM’s governance system. Solid rectangles indicate a decision-making center and
 277 dashed rectangles indicate a critical supporting actor.

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 279 5.1.2 Polycentricity Attribute 2: Present

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 281 PMNM also exhibits the second attribute of a polycentric system, which holds that
 282 decision-making centers “act in ways that take account of others through processes of
 283 cooperation, competition, conflict, and conflict resolution” (Carlisle and Gruby 2017, 8). Lines
 284 connecting decision-making centers and supporting actors in Figure 1 represent the relationships
 285 through which these processes occur. The permitting system for PMNM access exemplifies this
 286 attribute. Though military, law enforcement, and emergency personnel are exempt, researchers,

287 Native Hawaiian cultural practitioners, educators, and others must complete a rigorous
288 permitting process to access PMNM. The permit review process necessitates review and/or
289 approval by multiple working groups and the MMB agencies. Many applicants are themselves
290 members of the agencies that constitute the MMB. This interconnectedness between agencies,
291 applicants, and decision-making centers encourages permit holders to coordinate their activities.
292 For example, researchers and Native Hawaiian cultural practitioners coordinate some of their
293 permits and trips to PMNM, sharing boat space and time to carry out research and cultural
294 practices. Interviewees noted that these shared experiences allow users to learn from one another
295 and enrich one another's understanding and appreciation of the place and their relationship to it
296 (see Kikiloi et al. 2017).

297 The Fish and Wildlife Service (FWS), National Oceanic and Atmospheric Administration
298 (NOAA), and the State of Hawai'i each have jurisdiction over specific terrestrial and marine
299 areas within the PMNM; whether they overlap and what that means if they do is still a source of
300 conflict. Yet, interviewees described how, through coordination based on mutual trust and
301 understanding, the agencies have established avenues to co-manage these areas through the
302 MMB, often by allowing the agency with legal jurisdiction to guide decision-making. Though
303 OHA does not have legal jurisdiction over the governance of a specific geographic area, MMB
304 members offer similar deference to OHA's guidance in decisions related to cultural aspects of
305 PMNM governance. Despite conflicting understandings of jurisdiction in some areas, a norm of
306 coordinating rather than asserting authority has emerged. One MMB member explained, "The
307 jurisdiction is messy, really messy...So, we've all agreed to disagree and jointly [make
308 decisions] because it's been too messy not to" (Interview L3XM).

309 In summary, PMNM is a polycentric system. Next, we investigate whether and how it
310 exhibits the enabling conditions that are theorized to facilitate social fit.

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312 5.2 Enabling conditions to achieve social fit in PMNM

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314 5.2.1 Social Fit Enabling Condition 1: Present

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316 Carlisle and Gruby (2017) describe four enabling conditions, or structural features of a
317 polycentric governance system, that may increase the likelihood that the system will exhibit
318 social fit. Enabling Condition 1 states that decision-making centers in the governance system use
319 diverse institutions. Scholars have posited that diverse, semi-autonomous decision-making
320 centers will likely experiment with multiple institutions, providing the opportunity for
321 institutions that best fit the needs of a given social context to emerge and adapt as that context
322 changes (E. Ostrom 2010).

323 The PMNM governance system exhibits Enabling Condition 1. We found both *de jure*
324 and *de facto* institutional diversity that facilitated coordination among the agencies represented
325 on the MMB. These agencies exhibit distinct cultures and use distinct rules and norms to carry
326 out their legal mandates. Interviewees explained that conflicts over these differences emerged
327 between agencies soon after PMNM was initially created, when they were producing the
328 management plan (see Kittinger et al. 2011 for additional details). To address legal conflicts,
329 attorneys from the different agencies met repeatedly to ensure that co-trustee management
330 practices established in the management plan could be carried out legally. MMB members also
331 established informal norms to strengthen interpersonal and inter-agency trust and increase
332 overall efficiency. They began recording and revisiting decisions made during meetings to avoid

333 re-hashing previously accepted outcomes, and they agreed to bring disagreements and
334 interpersonal conflicts to the MMB directly, rather than sending concerns up the chain of
335 command.

336 One area identified by some interviewees as needing more institutional diversity, or at
337 least flexibility, is the permit system to access PMNM. They assert that the process is inefficient,
338 confusing, and too strict. Others, however, noted that while the permit system is strict, new
339 norms and creative options for gaining access to PMNM have emerged to address these issues.
340 An ongoing controversy over NOAA mandated shark culling for monk seal management offers
341 an example, given sharks' cultural and spiritual significance in Native Hawaiian belief systems.
342 NMFS has repeatedly included a shark culling program in its management plan for monk seal
343 protection in PMNM, a practice strongly opposed by many in the CWG. Interviewees noted that,
344 despite unresolved disagreement, the CWG, NMFS, and other MMB agencies have put forth
345 immense effort to understand one another's viewpoints and attempt to address one another's
346 needs and interests (e.g., through including a cultural practitioner to oversee and guide the
347 culling process as part of the permit). This conflict exemplifies how differences in Native
348 Hawaiian and Western worldviews create tension in PMNM; yet, the governing structure in
349 place allows for ongoing communication, collaboration, and institutional innovation. Given this
350 institutional innovation to address seemingly inflexible regulations in practice, we categorize
351 Social Fit Enabling Condition 1 as present.

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353 5.2.2 Social Fit Enabling Condition 2: Mostly present

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355 The second enabling condition that may promote social fit states that cross-scale linkages
356 allowing for learning, information flow, and cooperation should exist across decision-making
357 centers. The PMNM governance system exhibits this enabling condition through linkages across
358 jurisdiction, governance level, and geographical space. For example, the MMB provides a forum
359 for federal agencies, state agencies, and OHA to deliberate and coordinate management across
360 their different jurisdictional mandates. Disagreements over management decisions at the MMB
361 level can be elevated to the SEB or to staff in Washington, DC with greater authority. To avoid
362 inefficiency and frustration, however, MMB members learned to use this option to elevate only
363 as a last resort. Groups at lower governance levels, such as the PWG, provide additional avenues
364 to address conflict. PWG members, who often have less authority within their respective
365 agencies than MMB members, can discuss day-to-day management issues, find ways to resolve
366 them practically, and elevate questions or discrepancies to their agency superiors without making
367 conflicts personal.

368 Some interviewees, while noting that these cross-scale linkages were generally present
369 and effective, identified two areas where mechanisms to promote learning could be strengthened
370 in the PMNM governance system: cross-cultural understanding and temporal linkages.

371 Governance actors enact institutions to ensure that Native Hawaiians, as well as their cultural
372 practices, worldviews, and values are woven into all governance areas and decision-making for
373 PMNM (Kikiloi et al. 2017). Yet, some interviewees assert that more can be done to fully
374 manage PMNM cross-culturally. For example, some longer-standing governance actors note that
375 insufficient efforts to teach newer MMB and Working Group members the institutional and
376 relational history, or genealogy, of PMNM's governance and cultural significance have resulted

377 in inadequate cross-time linkages. Previous arguments over inter-agency misunderstandings and
378 management practices continue to emerge.

379 Given that interviewees consistently described the presence and usefulness of cross-scale
380 linkages in the system overall, we consider these weakness minor and describe this enabling
381 condition as mostly present. Yet, we highlight this opportunity for governance system
382 improvement here because understanding genealogy, or foundational stories and history, is
383 central to Native Hawaiian worldview(s), and, more broadly, cross cultural understanding. Some
384 interviewees described this lack of attention to genealogical teaching, and subsequent inability to
385 learn from the past, as a missed opportunity. Polycentricity scholars have noted that, while
386 informal institutional linkages may increase flexibility, efficiency, and trust (Baldwin et al.
387 2018), they may prove unstable, and thus insufficient over time (Ostrom, Tiebout, and Warren
388 1961). The erosion of genealogical teaching in PMNM may have occurred because of an over-
389 reliance on informal norms, rather than more formal mechanisms, to pass historical knowledge to
390 new governance actors.

391 5.2.3 Social Fit Enabling Condition 3: Present

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394 The third enabling condition calls for decision-making centers to exist at different
395 levels (to include different strengths and capacities) and across political jurisdictions (to ensure
396 governance of issues that span individual jurisdictions). The PMNM governance system exhibits
397 both aspects of this enabling condition. As detailed earlier, the SEB and MMB make overarching
398 governance decisions for PMNM, and their members represent agencies whose jurisdictional
399 authority covers PMNM’s ecological and cultural resources. Federal governance actors for
400 NOAA and FWS in Washington, DC have an even broader purview of all national monuments
401 and conservation areas. Working groups, agency employees, and permit holders carry out
402 specific, day-to-day functions, both on O‘ahu and in PMNM. Regular meetings through groups
403 such as the RAC, the CWG, the Board of Land and Natural Resources, and the Western Pacific
404 Regional Fishery Management Council also provide linkages to maintain communication and
405 rapport between governance actors and the public.

406 Similar to concerns noted in Enabling Condition 2, some interviewees expressed concern
407 that cultural integration had not occurred across all agencies and governance levels. While OHA,
408 the CWG, a position devoted to Native Hawaiian culture in NOAA’s Office of National Marine
409 Sanctuaries (ONMS), and designated Native Hawaiian seats on the RAC ensure continued
410 participation in PMNM governance by Native Hawaiians, there is no overarching body devoted
411 to ensuring that multi-cultural worldviews are woven throughout PMNM governance. Other
412 interviewees argue that OHA, as PMNM’s Native Hawaiian cultural expert, is recognized as
413 having some authority in all aspects of PMNM governance². Despite these opportunities to
414 strengthen understanding and coordination across worldviews, the PMNM governance system
415 meets the requirements of Enabling Condition 3 as it is currently written.

416 5.2.4 Social Fit Enabling Condition 4: Somewhat present

² The identity of OHA in Hawai‘i generally, and its ability or authority to represent or offer expertise of the Native Hawaiian community specifically, remains contested among Native Hawaiians (Andrade 2016).

418
419 The final enabling condition holds that “the jurisdiction or scope of authority of decision-
420 making centers is coterminous with the boundaries of the problem being addressed” (Carlisle and
421 Gruby 2017, p. 18). PMNM covers a vast geographic area, including the Northwest Hawaiian
422 Islands and their surrounding oceans. Interviewees noted that, from an ecosystem-based
423 management perspective, its large size can be understood as a benefit. Further, by encompassing
424 all of the Northwest Hawaiian Islands, it protects a place of great significance in Native
425 Hawaiian culture (Kikiloi 2010). However, the goals stated in PMNM’s mission statement
426 include “strong, long-term protection and perpetuation of NWHI ecosystems, Native Hawaiian
427 culture, and heritage resources.” The boundaries created both in 2006 and with the expansion to
428 the EEZ in 2016 are not based on ecological, Native Hawaiian cultural, or heritage aspects; they
429 are based on state-based territorial politics and histories. Some interviewees further noted that
430 PMNM’s boundaries do not address major threats to ecological resources, such as climate
431 change and marine pollution. Thus, Enabling Condition 4 is only somewhat present in PMNM.

432

433 5.3 Social fit of the PMNM governance system

434

435 The previous sections establish PMNM as a polycentric system that exhibits the four
436 enabling conditions for social fit, at least to some extent. Next, we investigate the extent to which
437 PMNM exhibits social fit. We use the three dimensions of social fit defined by Epstein et al.
438 (2015) to examine the case through the information and perceptions of interviewees. We
439 highlight one or more of the enabling conditions in parentheses to signify that the presence of
440 that enabling condition supported the emergence of a particular social fit dimension. We
441 conclude that Social Fit Dimensions 1 and 3 were mostly present, and Dimension 2 was
442 somewhat present in PMNM. Overall, PMNM mostly exhibits social fit for governance actors as
443 defined by Epstein et al. (2015).

444

445 5.3.1 Social Fit Dimension 1: Mostly present, grew over time

446

447 The first dimension of social fit addresses the extent to which rules and norms
448 fit with “patterns of resource use, as well as interplay with the values, beliefs and social customs
449 of affected groups” (Epstein et al. 2015, p. 37). Though Epstein et al. (2015) refer to those rules
450 and norms that govern direct resource use, our analysis focuses on the rules and norms that
451 govern decision-making. Overall, interviewees indicated that rules and norms related to decision-
452 making do fit with their values and customs. Yet, many interviewees reported that the
453 governance system that emerged and existed for the 2-3 years immediately following PMNM
454 establishment (2006 – ‘08) did not exhibit this dimension of social fit (see Kittinger et al. 2011
455 for additional detail). Limitations and inefficiencies due to differences in agency cultures and
456 norms emerged as a recurring theme. For example, NOAA manages protected areas by creating
457 regulations that prohibit or limit specific activities; the FWS, on the other hand, typically bans all
458 activity in a protected area, then crafts regulations about which specific activities to allow. These
459 different management approaches led to misunderstanding and conflict during early management
460 negotiations, when MMB members were attempting to figure out co-management between
461 federal, state, and semi-government agencies for the first time. Thus, having decision-making

462 centers that spanned political jurisdictions (Enabling Condition 3) actually served to limit this
463 dimension of social fit in PMNM.

464 Over time, however, governance actors developed rules and norms to address conflict and
465 alleviate tension. Cross-scale linkages between the SEB and the MMB, and between the PWG
466 and the MMB, provided conflict resolution mechanisms (Enabling Condition 2). With
467 experimentation through institutional diversity, the MMB created new rules and norms to allow
468 members to “hash things out”, such as recording all management decisions in writing and
469 addressing disagreements directly (Enabling Condition 1). Interviewees noted that, while these
470 new rules and norms may seem time-consuming, they ensure that everyone understands how and
471 why decisions are made, a key component of successful co-management. Many interviewees also
472 described how OHA representatives encouraged *aloha* (a spirit of love and compassion) in inter-
473 agency interactions and continuously re-directed focus from agency-specific goals and norms to
474 the broader goal of caring for Papahānaumokuākea, the place. One interviewee described the
475 shift toward mutual understanding: “We started to understand each [agency’s] culture a little bit.
476 And each other as individuals” (Interview 8BIX).

477 While interviewees indicated that, overall, rules and norms fit with governance actors’
478 customs, values, and beliefs, a few exceptions emerged that led us to describe this social fit
479 dimension as “mostly present.” For example, managers in the FWS are typically reassigned to a
480 new position every two years; this relatively high turnover rate has led to gaps in institutional
481 memory, particularly with insufficient teaching about PMNM’s genealogy and context for new
482 MMB and Working Group members. Some interviewees also described the effort and time
483 needed to gain access to PMNM through the permitting system as excessive. While these
484 descriptions do not necessarily indicate a lack of institutional diversity (see explanation in
485 Section 5.2.1), they do reflect a weakness in the permitting system’s fit with the customs and
486 values (e.g., efficiency) of some governance actors.

487

488 5.3.2 Social Fit Dimension 2: Somewhat present, grew over time

489

490 The second dimension of social fit “is concerned with the appropriateness of rulemaking
491 processes given the expectations and psychological needs of stakeholders” (Epstein et al. 2015,
492 p. 37). This dimension focuses on how well decision-making processes fit with governance actor
493 expectations about how decisions should be made and who should be involved in making them.
494 Interviewees specifically discussed both interactions between agencies in decision-making and
495 whether decision-making processes appropriately reflect multiple worldviews and cultures. This
496 dimension is somewhat present in PMNM’s governance system, and, similarly to Social Fit
497 Dimension 1, it grew over time.

498 As noted above, early MMB decision-making processes proved tense as agencies
499 negotiated different values, norms, and policy interpretations in a compressed time. The
500 continual conflict and tension did not fit with governance actor expectations; interviewees
501 reported that they needed more time and support to effectively create a new governance system.
502 One interviewee described these early meetings:

503

504 “I would go into work in the morning with just knots in my stomach – what is
505 going to happen today?...It was awful...Walking out of meetings. Hands up in the

506 air, you know. There's no use in even carrying on conversations, it was very
507 difficult." (Interview CX6T)

508
509 Over time, however, MMB members built trust and relationships through improved
510 communication and conflict resolution mechanisms (Enabling Conditions 1 and 2). Many
511 interviewees reported that, despite early tension, current and recent governance actors generally
512 value the shared management across agencies (Enabling Condition 3) and believe that working
513 together produces better governance outcomes for PMNM than working apart. While this
514 dimension of social fit has improved over time, some interviewees noted that tensions in the
515 MMB remain. This was attributed in part to high personnel turnover and insufficient
516 genealogical teachings (limitations in Enabling Condition 2). Additionally, power imbalances
517 emerged when some agencies were allocated more resources for PMNM management than
518 others (Kittinger et al. 2011). While some interviewees described the benefits of this asymmetry
519 (see Social Fit Dimension 3), others noted increased distrust and resentment between governance
520 actors as some agencies were perceived to have power over others.

521 Another theme highlighted by interviewees is the extent to which decision-making
522 processes for PMNM management address and reflect both Western and Native Hawaiian
523 worldviews held by governance actors (see Aburto and Gaymer 2018 for another ocean-specific
524 example). Though PMNM was created by the US government through a predominantly Western
525 ontological framework, some interviewees reported an expectation that PMNM management
526 would also reflect a Native Hawaiian ontology, given the importance of the Northwest Hawaiian
527 Islands in Native Hawaiian belief systems, the key role of Native Hawaiians in promoting their
528 protection, and the prominence of the cultural component of this protection in the Presidential
529 Proclamation. Interviewees explained how Native Hawaiian worldviews are reflected in some
530 aspects of decision-making. For example, naming the place itself Papahānaumokuākea and the
531 CWG's role of naming new species discovered in PMNM reflects the significance of naming in
532 Native Hawaiian culture (Kikilo'i et al. 2017). PMNM management practices have also been
533 updated to better reflect Native Hawaiian worldviews over time, demonstrating the importance
534 of institutional diversity (Enabling Condition 1) and learning (Enabling Condition 2). For
535 example, elevating OHA to the level of Co-Trustee in PMNM's management structure addressed
536 the expectations of many government actors that OHA's significant role in collective
537 management to date should be formally elevated and codified. This elevation gives OHA, a
538 semi-autonomous public agency representing indigenous people's interests, equal standing with
539 federal and state agencies over the management of a space for the first time. Though OHA
540 cannot sign off on permits to access PMNM because it lacks legal jurisdiction, interviewees
541 noted that the other three co-Trustees seek OHA's approval informally, a norm which
542 demonstrates the agencies' mutual respect and builds trust.

543 Some interviewees reported that, while progress has been made to include key aspects of
544 Native Hawaiian worldviews into PMNM management, there is still insufficient understanding
545 and inclusion of the multiple ontologies held by PMNM governance actors. For example, the
546 CWG reviews all permit applications to access PMNM. While their input has become a key part
547 of the MMB's decision-making over time, ultimate authority still lies with the MMB and
548 agencies with specific legal mandates. Yet, interviewees also explained that, while their
549 frustration continues, these issues were expected because the PMNM management system was
550 created within a Western governance system based on a Western worldview. PMNM was created
551 within the US national governance system, which illegally overthrew the Hawaiian Kingdom

552 over a century ago (MacKenzie and Tanaka 2015). This history creates ambiguity between the
553 established Hawaiian Kingdom legal system and the US legal system; yet, general deference to
554 the US laws and governance system remains. One interviewee explained the continuous attempts
555 to infuse Native Hawaiian worldviews and culture into PMNM management,

556
557 “If you grow up as a Native Hawaiian in Hawai‘i, and you have any sense of your
558 history and culture...you live in a duality. And we are living right now, this is a
559 façade. Under international law, we are illegally occupied...So, in that context,
560 it’s not that weird [to operate within two, sometimes conflicting,
561 worldviews]...We said, we need to put this cultural principle into law...[through]
562 little attempts at reclaiming little bits of management influence” (Interview
563 VM32)

564 While these continued frustrations met some interviewees’ expectations given the Western
565 context in which PMNM was created, this quote illustrates their continuing push to better meet
566 the psychological needs of those operating with a Native Hawaiian worldview.

567 5.3.3 Social Fit Dimension 3: Mostly present, grew over time and starting to erode

568
569 The third dimension of social fit addresses the extent to which rules and norms, or
570 institutions, enable governance actors to leverage the various roles, abilities, and resources of
571 decision-making centers at different governance levels (Epstein et al. 2015). A governance
572 system demonstrates this dimension of social fit if it is able to “resolve conflicts, produce public
573 goods, build redundancies, and more generally, develop conditions conducive to social learning”
574 (p. 37). This dimension requires that Enabling Conditions 1-3 are met, as they provide the
575 scaffolding to allow conflict resolution, production of public goods, and social learning. This
576 research revealed that PMNM mostly exhibits Dimension 3, but interviewees note that this
577 dimension of social fit has changed across time; effective institutions took time to emerge, and,
578 at the time of data collection, those institutions had begun to erode.

579 PMNM governance actors regularly use the distinct authorities held by decision-making
580 centers at different governance levels to resolve conflict. In addition to the MMB’s use of the
581 PWG and the SEB to address issues at different decision-making levels (see Section 5.2.2),
582 interviewees noted that boat operators, researchers, and cultural practitioners who access PMNM
583 together have built rapport through their trips to PMNM; this rapport has “trickled up” to ease
584 tension between agencies. Agencies also leverage their different capacities, expertise, and
585 financial resources to collectively achieve PMNM’s goals. For example, NOAA has funded
586 PMNM positions within the State of Hawai‘i, OHA has contributed expertise and connections to
587 the Native Hawaiian community to other agencies, and agency representatives already
588 conducting research in PMNM carry out research and management tasks for other co-managing
589 agencies. This sort of collaboration and mutual support emerged over time, with trust-building
590 through continual interaction and learning about agency-specific cultures. Note, however, that
591 while some decision-making centers have established mechanisms to ensure that Native
592 Hawaiians hold paid positions and key decision-making roles, this mandated commitment to the
593 inclusion of Native Hawaiian worldview(s) is not shared across all agencies represented on the
594 MMB. This limits the system’s potential for broadly shared learning and understanding; given
595 this limitation, we describe Social Fit Dimension 3 as mostly present.

596 Governance actors generally agreed that creating mechanisms to resolve conflict and
 597 facilitate cooperation benefitted the system as a whole (Enabling Conditions 1 and 2). As one
 598 interviewee explained, “Some of these issues [between agencies] either had to be resolved or
 599 [we] had to agree to disagree... I think that all the different agencies recognized that none of
 600 them had the resources individually to be able to manage that area” (Interview QS2T). Despite
 601 this recognition, the system’s ability to effectively manage PMNM had fallen at the time of data
 602 collection. Interviewees noted that the erosion of institutional memory (minor weakness in
 603 Enabling Condition 2) and general decreases in federal support and resources have stunted
 604 learning and opportunities to adapt by experimenting with new rules and norms. For instance,
 605 decreases in support left previously well-funded agencies, such as the ONMS in NOAA, less
 606 able to coordinate with, and at times offer resources and support to, other agencies. Interviewees
 607 argue that these changes have, in part, followed presidential administration changes, making
 608 long-term planning difficult as the potential that they may change again remains.
 609

Definitions	Presence	Example(s)
Polycentricity Attributes		
Attribute 1: Multiple, overlapping decision-making centers with some degree of autonomy	Present	The MMB is a semi-autonomous decision-making center that includes representatives of seven federal, state, or semi-autonomous public agencies, each of which constitutes a distinct decision-making center with autonomy
Attribute 2: Choosing to act in ways that take account of others through processes of cooperation, competition, conflict, and conflict resolution	Present	Activities conducted in PMNM require a permit. Permit holders, including some decision-making centers, often coordinate activities and resources to increase success and efficiency
Social Fit Enabling Conditions		
Enabling Condition 1: Decision-making centers use diverse institutions	Present	Agencies exhibit distinct cultures, and use distinct rules and norms to carry out mandates
Enabling Condition 2: Decision-making centers participate in cross-scale linkages or other mechanisms for deliberation and learning	Mostly Present	There are linkages across jurisdiction, governance level, and geographical space that allow for deliberation, collaboration, and learning; cross-cultural understanding and informational linkages over time could be strengthened
Enabling Condition 3: Decision-making centers exist at different	Present, as	Members of the SEB and MMB consist of individuals in the federal government,

levels and across political jurisdictions	currently written	state government, and OHA
Enabling Condition 4: The jurisdiction or scope of authority of decision-making centers is coterminous with the boundaries of the problem being addressed	Somewhat present	PMNM covers a vast area that allows for holistic ecosystem-based management. However, the boundaries are not based on ecological, Native Hawaiian cultural, or heritage aspects.

Social Fit Dimensions

Dimension 1: Institutions fit with patterns of resource use, as well as interplay with the values, beliefs, and social customs of affected groups	Mostly Present, grew over time	Rules and norms guiding PMNM's governance mostly align with the values and norms of governance actors; inter-agency tension in the early governance system was alleviated over time
Dimension 2: Decision-making centers account for the expectations and psychological needs of stakeholders	Somewhat present, grew over time	Agencies in PMNM's governance have different worldviews, values, norms, and policy interpretations, and these differences create tension and conflict; over time, greater collaboration and communication created opportunities for better governance outcomes, though tension resulting from ontological differences remain
Dimension 3: Governance system resolves conflicts, provides resources, and promotes social learning	Mostly Present, grew over time and starting to erode	The MMB uses decision-making centers at different levels, such as the SEB and the PWG, to resolve conflicts and promote institutional innovation and learning; institutions to support this grew over time and have begun to erode with decreasing resources and limited genealogical teaching and learning

610
611 **Table 3.** Polycentricity and social fit (from Carlisle and Gruby (2017) and Epstein et al. (2015))
612 in PMNM

613 5.4 Contextual features to promote social fit

614
615 We have shown that the PMNM case provides empirical evidence supporting the links
616 between Enabling Conditions 1-3 and the emergence of social fit in Carlisle and Gruby's (2017)
617 model. In this section, we propose four contextual features that supported PMNM in achieving
618 social fit: sufficient time to develop mechanisms to effectively cooperate, communicate, and
619 manage conflict; the 'match' between individual personalities and the governance positions they

620 hold; consistent and sufficient resources to sustain effective governance processes; and clear
621 communication and shared understanding of diverse actor beliefs, values, norms, and goals.
622 While the enabling conditions refer to structural aspects of the governance system (e.g. decision-
623 making centers and their relationships and interactions), contextual features refer to
624 characteristics of and relationships between the particular people, place(s), and histories that
625 enliven a governance system and relate to how it performs (see Mudliar (2020)). In theoretical
626 terms, we posit that these contextual features contribute to, and may be necessary to ensure that,
627 Enabling Conditions 1-3 facilitate the emergence of social fit as theorized.

628 The first contextual feature suggested by the PMNM case is sufficient time for the
629 governance system to develop attributes necessary to achieve the three dimensions of social fit.
630 To address early conflicts, governance actors had to identify issues, experiment with diverse
631 rules and norms to address them (Enabling Condition 1), and adjust those rules and norms as
632 needed to effectively cooperate and eventually build trust (Enabling Condition 2). Each of these
633 steps took time. Time also allowed the emergence and replacement of specific governance actors
634 and governance roles, which encouraged new ideas for facilitating co-management. Some
635 interviewees, however, noted that personnel turnover over time also contributed to an erosion of
636 both institutional memory and a collective sense of connection to the place. Thus, PMNM
637 suggests that time for institutional innovation should be coupled with continued genealogical
638 teaching to retain and continuously build lessons learned and trust.

639 Another contextual feature that interviewees highlighted is having particular people in the
640 specific governance roles that enable them to contribute to effective governance. Bodin (2017)
641 similarly argues that effective collaborative networks are built on “the interplay between the
642 overall structure of the network, the characteristics of its actors, and the network positions that
643 they occupy” (p. 6). For PMNM, interviewees noted that each governance role benefitted from
644 different actor characteristics. As one interviewee explained, “You had to have the right set of
645 individuals in the room at the right moment for this to have ever come together...Everybody had
646 to do a little bit around the edges to make this work” (Interview JJMT). For instance, agency
647 leaders needed to advocate for resources, push to achieve particular goals, yet listen and
648 compromise when needed. Working groups benefitted from members who could let go of inter-
649 agency issues that arose in the MMB to enable efficient on-the-ground management at different
650 governance levels (Enabling Condition 3) and conflict resolution (Enabling Condition 2) to
651 occur. Strategic advisory group members were able to affect key decision-making despite a lack
652 of formal authority; other socially astute governance actors have helped translate, connect and, at
653 times, bridge cultural and worldview differences between Native Hawaiians and non-Hawaiians
654 (using new rules and norms available through Enabling Condition 1).

655 The third contextual feature suggested by the PMNM case is consistent access to
656 governance resources. Governance resources include not only financial resources, but also
657 expertise, space, knowledge, equipment and tools, and personnel. For example, interviewees
658 indicated that members of OHA and the CWG provided financial resources, cultural expertise,
659 tools for effective communication and visioning, cultural practices, historical knowledge, and
660 other resources to the PMNM governance system. PMNM was able to take advantage of these
661 resources because it exhibits Enabling Conditions 1 and 2. This contextual feature also connects
662 specifically to Social Fit Dimension 3 in that, to effectively leverage governance resources, those
663 resources must be available. Similar to other contexts (see Biddle and Baehler 2019; Morrison et
664 al. 2019), resource distribution and access and related issues of power across governance actors
665 played a key role in how governance resources contributed to social fit in PMNM. For example,

666 agency funding has changed over time: while the ONMS in NOAA had substantial funding in
667 the early years of PMNM, their funding has fallen recently, while OHA’s funding resources have
668 grown. These changes are not necessarily positive or negative, but they can change inter-agency
669 and inter-actor relationships and dynamics.

670 The final contextual feature suggested by the PMNM experience is clear communication
671 and shared understanding of the diversity of actors’ values, beliefs and norms. These include
672 underlying philosophies and value systems about governance as well as norms of interaction.
673 Thiel and Moser (2019) argue that actor and community heterogeneity and its relationship with
674 polycentric governance and performance remains under-researched and undertheorized. In
675 PMNM, despite early conflict based on inter-agency differences and misunderstandings,
676 interviewees reported that identifying and clarifying the roots of actor differences allowed
677 productive conversation, compromise, and cooperation to emerge (Enabling Conditions 1 and 2).
678 Interviewees also discussed the importance of developing and continually articulating shared
679 goals. For instance, many of them indicated a broadly shared goal of making co-management
680 between such a variety of entities work; this stemmed from a collectively developed value of the
681 place, Papahānaumokuākea, as more important than the specific interests of an individual person,
682 agency or group. This shared value emerged over time with leadership and encouragement from
683 OHA. It has prompted rules and norms that better recognize and prioritize social fit of the whole
684 governance system over aligning with the expectations of particular actors or agencies.

685

686 6. Discussion and Conclusions

687

688 We have demonstrated that PMNM is a polycentric governance system that has exhibited
689 some degree of social fit for governance actors over time. Three of the four enabling conditions
690 were present or mostly present in PMNM, and their presence generally promoted the emergence
691 of social fit for governance actors, which supports the relationships theorized in Carlisle and
692 Gruby’s (2017) model. As one enabling condition was only somewhat present, the case also
693 supports their assertion that not all of the social fit enabling conditions need to be present for a
694 governance system to produce some degree of social fit. We further highlight the importance of
695 context; we identified four contextual conditions that mattered for the emergence of social fit in
696 PMNM. Finally, the PMNM case demonstrates that social fit is not static; it can build or erode
697 over time.

698 This article suggests three contributions to the functional model of polycentricity. First,
699 rather than enabling the emergence of social fit, the inclusion of multiple agencies with different
700 jurisdictions and mandates (Enabling Condition 3) in fact limited the emergence of social fit in
701 the early years of PMNM. This demonstrates that the presence of an enabling condition can
702 hinder governance functionality in practice, depending on context; in this case, Enabling
703 Condition 3 served as a “limiting” condition for Social Fit Dimension 1 in those early years.
704 Note, however, that this does not imply that Enabling Condition 3 limited the functionality of the
705 governance system overall; indeed, other dimensions of social fit may not have emerged over
706 time without this enabling condition. Second, interactions between enabling conditions can
707 influence whether and how governance functionality emerges. The existence of institutional
708 diversity (Enabling Condition 1) and cross-scale linkages between decision-making centers that
709 address conflict (Enabling Condition 2) helped resolve these early inter-agency tensions – for
710 example, new institutions emerged in the joint permitting system that addressed conflict and
711 increased social fit. Third, decision-making centers should span not only governance level and

712 geographic jurisdictions, but also different worldviews and cultures. This is supported
713 empirically in this case by the key role of OHA in facilitating the emergence of social fit. Aburto
714 and Gaymer's (2018) work also supports this assertion, as they similarly found that mismatches
715 in worldviews between Rapanui fishing communities and the creators of governance institutions
716 in mainland Chile contributed to a lack of social fit in fisheries governance. Thus, we propose
717 broadening Enabling Condition 3 to reflect this important dimension.

718 The PMNM case also highlights four contextual features that were important to social fit.
719 A governance system's context and history influence the effectiveness of polycentric governance
720 (Morrison 2017; Mudliar 2020); the contextual features proposed in this paper offer a step
721 toward specifying what aspects of context need research attention. We hope that this specificity
722 provides governance actors working with LSMPAs and other polycentric systems with concrete
723 insights into what has facilitated and limited social fit elsewhere. It is important to note,
724 however, that, while each of the proposed contextual features support, and might even promote,
725 the emergence of social fit, they do not cause or guarantee it. Further, while the relative
726 importance of enabling conditions vs. contextual features for how polycentric governance
727 systems evolve and function cannot be determined from one case study, future research may
728 shed light on whether trends exist.

729 The temporal dynamics of social fit in the PMNM case demonstrate that social fit can
730 change over time. This finding aligns with other scholarship demonstrating that polycentric
731 governance structures, performance, and outcomes are dynamic (Morrison et al. 2019; Biddle
732 and Baehler 2019; Thiel, Pacheco-Vega, and Baldwin 2019). This finding also has implications
733 for established and future LSMPAs. If social fit does not immediately emerge, it can and may,
734 given time and the right conditions. Yet, PMNM also suggests that social fit is unstable and can
735 erode over time; as governance resources decrease, the ability to maintain connection, trust and
736 coordination between decision-making centers can decrease as well (see discussion of Social Fit
737 Dimension 3).

738 More broadly, our analysis provides an empirical example of how social fit emerges
739 through a particular form of hybrid governance: co-management. Scholars have called for a
740 greater empirical focus on co-management and other hybrid forms of governance (Lemos and
741 Agrawal 2006; Rana and Chhatre 2017), and PMNM offers insights into how the attributes and
742 features of a polycentric governance system facilitate effective co-management. Though
743 PMNM's governance structure was created through a seemingly top-down presidential
744 proclamation, much of the effort and advocacy to establish protection came from the public,
745 particularly from Native Hawaiians (Kikiloi et al. 2017). The autonomy afforded to the MMB
746 and other decision-making centers to craft governance rules and norms that best fit community
747 needs and expectations allowed social fit to largely emerge. Non-government community
748 governance actors in advisory bodies such as the RAC and the CWG add not only context and
749 legitimacy to decisions but contribute resources and relationships that strengthen shared
750 understanding and improve social fit. Decision-making centers' continual meaningful
751 engagement with these advisory bodies, as well as the elevation of OHA to co-Trustee, have
752 provided structure for enhancing coordination of goals and shared understanding and have given
753 greater authority to often marginalized voices within the state governance system. Yet, as
754 discussed previously, limitations to social fit remain because of the incomplete reflection of
755 Native Hawaiian worldview(s) in PMNM governance.

756 Finally, Bruns (2019) rightly asserts that the design of polycentric governance systems is
757 inherently political and power-laden. Assessing social fit offers an avenue to investigate the

758 extent to which the governance system “fits” with the interests and values of those most
759 impacted by the system in practice, rather than focusing solely on the structure and the potential
760 it offers for achieving theorized benefits. While both exercises are important, the PMNM case
761 calls for greater attention to empirical examinations of polycentric governance in practice,
762 enlivened by particular places, people, histories, and relationships, to further understanding of
763 how polycentric governance systems relate to social-ecological systems and outcomes. In other
764 words, while the presence of the enabling conditions in polycentric governance systems may
765 facilitate the emergence of social fit, they do not guarantee it. Questions about who enlivens the
766 governance structure, with what resources, time limitations, knowledge and power dynamics
767 may prove just as important (Mudliar 2020). This reality highlights a continuing challenge of
768 studying polycentric systems: they are complex and multi-layered, and aspects like social fit
769 represent just one facet of a functional polycentric system. Further research is needed to
770 determine how social fit relates to outcomes of polycentric governance and under what
771 circumstances a polycentric system can become functional.

772

773 Acknowledgements

774

775 We would like to thank the many people related to PMNM governance and the Human
776 Dimensions of LSMPAs Community of Practice who contributed their time, perspectives and
777 expertise to this project. We thank Luke Fairbanks as well as two anonymous peer reviewers for
778 providing useful feedback on drafts of the article. This project was funded through an NSF SBE
779 Postdoctoral Research Fellowship (Award 1714335). Finally, we thank the editors of this Special
780 Issue and all other contributing authors for including our paper in this collection of articles.

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